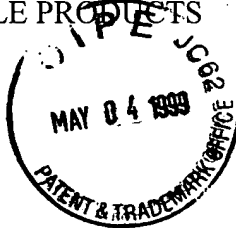


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al. )  
 For: SYSTEM AND METHOD FOR )  
 OFFERING MULTIPLE PRODUCTS ) Examiner: Not Yet Assigned  
 Serial No.: 09/283,389 )  
 Filing Date: April 1, 1999 ) Group Art Unit: 2751  
 ) Docket No.: WD2-98-099



Box Missing Parts  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing by "Express Mail"
2. Response to Notice to File Missing Parts;
3. Copy of "Notice To File Missing Parts Of Application – No Filing Date";
4. Copy of "Notice of Incomplete Application";
5. Set of drawings for submission; and
6. Postcard.

The Commissioner is hereby authorized to charge \$ 0.00 to Deposit Account No. 50-0271 since all required items identified on the "Notice To File Missing Parts of Application – No Filing Date" and on the "Notice of Incomplete Application" are being filed together. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

Dean Alderucci  
 Attorney for Applicants  
 PTO Reg. No. 40,484  
 Walker Digital Corporation  
 Five High Ridge Park  
 Stamford, CT 06905

May 4, 1999  
 Date

Certificate of Mailing

I hereby certify that this correspondence is being sent in an Express Mail envelope under mailing label number EL080832668US with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Box Missing Parts on May 4, 1999.

Margaret N. Kaswer  
 Typed Name of Person Making  
 Deposit

Margaret N. Kaswer  
 Signature Date 05/04/99

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Jay S. WALKER et al.

Docket No.

WD2-98-099

Serial No.

09/283,389

Filing Date

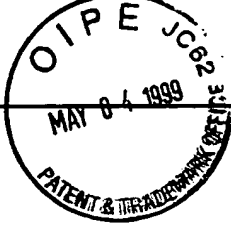
April 1, 1999

Examiner

Not Yet Assigned

Group Art Unit

2751

Invention: **SYSTEM AND METHOD FOR OFFERING MULTIPLE PRODUCTS**

I hereby certify that this Response to Notice to File Missing Parts and Notice of Incomplete Application  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
May 4, 1999  
(Date)

Margaret N. Kaswer

(Typed or Printed Name of Person Mailing Correspondence)

Margaret N. Kaswer  
(Signature of Person Mailing Correspondence)EL080832668US

("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. WALKER et al. )  
 )  
For: SYSTEM AND METHOD FOR ) Examiner: Not Yet Assigned  
OFFERING MULTIPLE PRODUCTS )  
 )  
Serial No.: 09/283,389 ) Group Art Unit: 2751  
 )  
Filing Date: April 1, 1999 ) Docket No.: WD2-98-099



Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
NOTICE OF INCOMPLETE APPLICATION**

Sir:

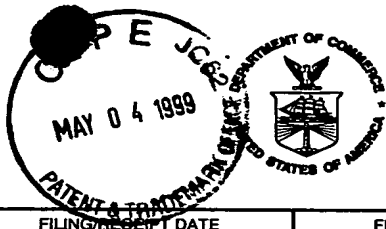
Applicants respectfully request the enclosed drawings be accepted for submission to complete the above-identified application filing. The acceptance of these drawings satisfies 35 U.S.C.113 (first sentence) as referenced under Box 2 of the "Notice of Incomplete Application". Acceptance should also satisfy the "Notice to File Missing Parts of Application – No Filing Date", thereby granting a filing date to the above identified application.

May 4, 1999  
Date

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Dean Alderucci", written over a horizontal line.

Dean Alderucci  
Attorney for Applicants  
PTO Registration No.: 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905-1326  
Phone: (203) 705-3006  
Fax: (203) 595-8266



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/283,389         | 04/01/99            | WALKER                | J WD2-98 099              |

DEAN ALDERUCCI  
WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905-1326

0232/0423

NOT ASSIGNED

2751

DATE MAILED:

04/23/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

#### No Filing Date

(Enclosure to Form PTO-1123)

The required items noted below SHOULD be filed along with any items required on the "Notice of Incomplete Application." The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." The items noted below must be filed no later than TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION. If any of items 1 and 3 through 5 below are submitted after the filing date accorded this application, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form and on the "Notice of Incomplete Application" are filed together, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 0.

☐ 1. The statutory basic filing fee is:

- ☐ missing or unexecuted.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of

- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the items required on "Notice of Incomplete Application."
- ☐ does not identify the application to which it applies.
- ☐ does not include the post office address and the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and filing date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than the inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and filing date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and filing date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. The application does not comply with the Sequence Rules.

See attached "Notice To Comply with Sequence Rules 37 CFR 1.821 -1.825."

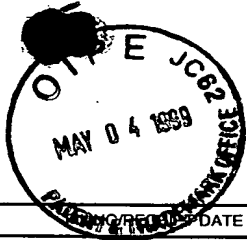
☒ 8. OTHER: UNO DISCLOSURE

Direct the reply and any questions about this notice to Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

WALKER  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2-COPY TO BE RETURNED WITH RESPONSE



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILED DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|------------|-----------------------|---------------------------|
| 09/283,389         | 04/01/99   | WALKER                | J W02-98-099              |

0232/0423

DEAN ALDERUCCI  
WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905-1326

NOT ASSIGNED

2751

DATE MAILED:

04/23/99

**NOTICE OF INCOMPLETE APPLICATION**

A filing date has NOT been assigned to the above identified application papers for the reason(s) indicated below.

All of the items noted below, unless otherwise indicated, must be submitted within **TWO MONTHS** of the date of this Notice, or the application may be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$130.00 handling fee. (37 CFR 1.53(c)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the items required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of the Assistant Commissioner for Patents accompanied by the \$130.00 petition fee. (37 CFR 1.17(i)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

☐ 1. The specification (description and claims):

- ☐ a. is missing.  
☐ b. does not include a written description of the invention.  
☐ c. does not include at least one claim as prescribed by 35 U.S.C. 112.

*A complete specification (including at least one claim in a nonprovisional application) as prescribed by 35 U.S.C. 112 is required.*

☒ 2. The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented."

*Applicant should reconsider whether drawings are necessary under 35 U. S. C. 113 (first sentence).*

☐ 3. The inventor's name(s) is missing.

*The full names of all inventors are required in compliance with 37 CFR 1.41.*

☐ 4. OTHER:

Additional observations on the above-identified application papers:

- ☐ 1. Pages \_\_\_\_\_ of the specification are missing.  
☐ 2. Figures \_\_\_\_\_ described in the specification are missing.

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

Enclosed:

- ☐ "General Information Concerning Patents." See page \_\_\_\_\_.  
☐ "Notice to File Missing Parts of Application," Form PTO-1532.  
☐ "Checklist for Patent Applications."  
☐ Other:

**A copy of this notice MUST be returned with the response.**

*UNBLACK*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202